



Holmer Green Senior School

# GDPR Protection and Retention Policy

May 2018

## **1. Introduction**

Holmer Green Senior School is committed to conducting its business in accordance with all applicable Data Protection laws and regulations and in line with the highest standards of ethical conduct.

This policy details expected behaviours of Holmer Green Senior School's Employees and third parties in relation to the collection, use, retention, transfer, disclosure and destruction of any Personal Data belonging to a pupil, parent or staff member of Holmer Green Senior School and irrespective of the media used to store the information.

Personal Data is any information (including opinions and intentions) which relates to an identified or Identifiable Natural Person. Personal Data is subject to certain legal safeguards and other regulations, which impose restrictions on how organisations may process Personal Data.

An organisation that handles personal data and makes decisions about its use is known as a Data Controller. Holmer Green Senior School, as a Data Controller, is responsible for ensuring compliance with the Data Protection requirements outlined in this policy.

Non-compliance may expose Holmer Green Senior School to complaints, regulatory action, fines and/or reputational damage.

The Governing Body of Holmer Green Senior School is fully committed to ensuring continued and effective implementation of this policy and expects all Holmer Green Senior School employees and third parties to share in this commitment.

Any breach of this policy will be taken seriously and may result in disciplinary action.

## 1. Scope

- 1.1. This policy applies to all activities undertaken by Holmer Green Senior School where a Data Subject's personal data is processed in the context of the general educational activities of the school.
- 1.2. This policy applies to all processing of personal data in electronic form (including electronic mail and documents created with word processing software) or where it is held in manual files that are structured in a way that allows ready access to information about individuals.
- 1.3. This policy has been designed to establish a baseline standard for the processing and protection of personal data by all Holmer Green Senior School employees. Where national law imposes a requirement that is stricter than that imposed by this policy, the requirements in national law must be followed. Furthermore, where national law imposes a requirement that is not addressed in this policy, the relevant national law must be adhered to.
- 1.4. The protection of personal data belonging to Holmer Green Senior School employees is not within the scope of this policy.
- 1.5. The Data Protection Officer (DPO) is responsible for overseeing this policy and, as applicable, developing related policies and privacy guidelines. The DPO within our School is Mark Purdom, [+44 \(0\)118 328 0135](tel:+441183280135) | [+44 \(0\)7500 600250](tel:+4417500600250).
- 1.6. Please contact the DPO with any questions about the operation of this policy or the GDPR, or if you have any concerns that this policy is not being, or has not been, followed. In particular, you must always contact the DPO in the following circumstances:
  - if you are unsure of the lawful basis which you are relying on to process Personal Data (including the legitimate interests used by the school)
  - if you need to rely on Consent and/or need to capture Explicit Consent
  - if you need to draft Privacy Notices or Fair Processing Notices
  - if you are unsure about the retention period for the Personal Data being processed
  - if you are unsure about what security or other measures you need to implement to protect Personal Data
  - if there has been a Personal Data breach
  - if you are unsure on what basis to transfer Personal Data outside the EEA
  - if you need any assistance dealing with any rights invoked by a Data Subject
  - whenever you are engaging in a significant new, or change in, processing activity which is likely to require a DPIA or plan to use Personal Data for purposes others than what it was collected for
  - if you plan to undertake any activities involving automated processing including profiling or automated decision-making
  - if you need help complying with applicable law when carrying out direct marketing activities; or
  - if you need help with any contracts or other areas in relation to sharing Personal Data with third parties.

## 2. Definitions

TERM	DEFINITION
<b>ANONYMISATION</b>	Data amended in such a way that no individuals can be identified from the data (whether directly or indirectly) by any means or by any person.
<b>BINDING CORPORATE RULES</b>	The Personal Data protection policies used for the transfer of Personal Data to one or more Third Countries within a group of undertakings, or group of enterprises engaged in a joint economic activity.
<b>CONSENT</b>	Any freely given, specific, informed and unambiguous indication of the Data Subject's wishes by which he or she, by a statement or by a clear affirmative action, signifies agreement to the Processing of Personal Data relating to him or her.
<b>CUSTOMER</b>	Any past, current or prospective [Insert Company name] customer.
<b>DATA CONTROLLER</b>	A natural or legal person, Public Authority, Agency or other body which, alone or jointly with others, determines the purposes and means of the Processing of Personal Data.
<b>DATA PROCESSOR</b>	A natural or legal person, Public Authority, Agency or other body which Processes Personal Data on behalf of a Data Controller.
<b>DATA PROTECTION</b>	The process of safeguarding Personal Data from unauthorised or unlawful disclosure, access, alteration, Processing, transfer or destruction.
<b>DATA PROTECTION OFFICER (DPO)</b>	The person required to be appointed in specific circumstances under the GDPR. Where a mandatory DPO has not been appointed, this term means a data protection manager or other voluntary appointment of a DPO or refers to the Company data privacy team with responsibility for data protection compliance.
<b>DATA SUBJECT</b>	Anyone who can be identified, directly or indirectly, in particular by reference to an identifier such as a name, an identification number, location data, an online identifier, or one or more factors specific to the physical, physiological, genetic, mental, economic, cultural or social identity of that natural person.

<b>EEA</b>	The 28 countries in the EU, and Iceland, Liechtenstein and Norway.
<b>EMPLOYEE</b>	An individual who works part-time or full-time for [Insert Company name] under a contract of employment, whether oral or written, express or implied, and has recognised rights and duties – includes temporary employees and independent contractors.
<b>ENCRYPTION</b>	The process of encoding a message or information in such a way that only authorised parties can access it.
<b>INFORMATION COMMISSIONER'S OFFICE (ICO)</b>	An independent Public Authority in the UK responsible for monitoring the application of the relevant Data Protection regulation set forth in national law.
<b>PERSONAL DATA BREACH</b>	A breach of security leading to the accidental or unlawful destruction, loss, alteration, unauthorised disclosure of, or access to, Personal Data transmitted, stored or otherwise Processed.
<b>PROCESS, PROCESSED, PROCESSING</b>	Any operation or set of operations performed on Personal Data or on sets of Personal Data, whether or not by automated means. Operations performed may include collection, recording, organisation, structuring, storage, adaptation or alteration, retrieval, consultation, use, disclosure by transmission, dissemination or otherwise making available, alignment or combination, restriction, erasure or destruction.
<b>PROFILING</b>	Any form of automated processing of Personal Data, where Personal Data is used to evaluate specific or general characteristics relating to a data subject. In particular to analyse or predict certain aspects concerning that natural person's performance at work, economic situations, health, personal preferences, interests, reliability, behaviour, location or movement.
<b>PSEUDONYMISATION</b>	Data amended in such a way that no individuals can be identified from the data (whether directly or indirectly) without a 'key' that allows the data to be re-identified.
<b>SPECIAL CATEGORIES OF DATA</b>	Personal Data pertaining to or revealing racial or ethnic origin, political opinions, religious or philosophical beliefs, trade-union membership, data concerning health or sex life and sexual orientation, genetic data or biometric data.

### **3. Policy**

#### **3.1. Governance**

##### **3.1.1. Policy Dissemination and Enforcement**

The Governing Body of Holmer Green Senior School must ensure that all its employees responsible for the processing of personal data are aware of, and comply with, the contents of this policy. In addition, Holmer Green Senior School will make sure all third parties engaged to process personal data on their behalf (i.e. their Data Processors) are aware of, and comply with, the contents of this policy. Assurance of such compliance must be obtained from all third parties, whether companies or individuals, prior to granting them access to personal data controlled by Holmer Green Senior School.

##### **3.1.2. Data Protection by Design**

Holmer Green Senior School must ensure that a Data Protection Impact Assessment (DPIA) is conducted for all new and/or revised systems or processes for which it has responsibility where the system or process stores personal data. The DPO of Holmer Green Senior School shall advise on how to conduct the DPIA. The subsequent findings of the DPIA must then be submitted to the senior management team/Governors for review and approval. Where applicable, the Information Technology (IT) Department, as part of its IT system and application design review process, will cooperate with the Data Protection subject matter expert to assess the impact of any new technology uses on the security of Personal Data.

##### **3.1.3. Compliance Monitoring**

To confirm that an adequate level of compliance is being achieved by Holmer Green Senior School in relation to this policy, Holmer Green Senior School will carry out an annual Data Protection compliance audit. Each audit will, as a minimum, assess compliance with this policy and the operational practices in relation to the protection of Personal Data, including:

- the assignment of responsibilities
- raising awareness
- training of Employees
- adequacy of organisational and technical controls to protect Personal Data
- records management procedures (including data minimisation)
- adherence to the qualified rights of the Data Subject
- Privacy by Design and Default
- consent for direct marketing
- Personal Data transfers
- Personal Data incident management (including Personal Data breaches)
- Personal Data complaints handling
- the currency of Data Protection policies and Privacy Notices
- the accuracy of Personal Data being stored
- the conformity of Data Processor activities
- the adequacy of procedures for redressing poor compliance.

Any major deficiencies identified will be reported to and monitored by the Governors

## 3.2. Principles

### 3.2.1. Data Protection

Holmer Green Senior School has adopted the following principles to govern its collection, use, retention, transfer, disclosure and destruction of Personal Data.

PRINCIPLE	DEFINITION
<b>PRINCIPLE 1:</b> Lawfulness, Fairness and Transparency	Personal data shall be processed lawfully, fairly and in a transparent manner in relation to the data subject. This means Holmer Green Senior School must tell the Data Subject what processing will occur (transparency), the processing must match the description given to the Data Subject (fairness), and it must be for one of the purposes specified in the applicable Data Protection regulation (lawfulness).
<b>PRINCIPLE 2:</b> Purpose Limitation	Personal Data shall be collected for specified, explicit and legitimate purposes and not further processed in a manner that is incompatible with those purposes. This means Holmer Green Senior School must specify exactly what the personal data collected will be used for and limit the processing of that personal data to only what is necessary to meet the specified purpose.
<b>PRINCIPLE 3:</b> Data Minimisation	Personal data shall be adequate, relevant and limited to what is necessary in relation to the purposes for which they are processed. This means Holmer Green Senior School must not store any personal data beyond what is strictly required.
<b>PRINCIPLE 4:</b> Accuracy	Personal data shall be accurate and kept up to date. This means Holmer Green Senior School must have in place processes for identifying and addressing out-of-date, incorrect and redundant personal data.
<b>PRINCIPLE 5:</b> Storage Limitation	Personal data shall be kept in a form which permits identification of Data Subjects for no longer than is necessary for the purposes for which the personal data is processed. This means Holmer Green Senior School must, wherever possible, store personal data in a way that limits or prevents identification of the Data Subject.
<b>PRINCIPLE 6:</b> Integrity & Confidentiality	Personal data shall be processed in a manner that ensures appropriate security of the personal data, including protection against unauthorised or unlawful processing, and against accidental loss, destruction or damage. Holmer Green Senior School must use appropriate technical and organisational measures to ensure the integrity and confidentiality of personal data are maintained at all times.

### 3.2.2. Accountability

The Data Controller shall be responsible for, and be able to demonstrate, compliance. This means Holmer Green Senior School must demonstrate that the six Data Protection Principles (outlined above) are met for all Personal Data for which it is responsible.

## 3.3. Data Collection

Personal Data should be collected only from the Data Subject/parent/carer of the Data Subject unless one of the following applies:

- The nature of the business purpose necessitates collection of the Personal Data from other persons or bodies.
- The collection must be carried out under emergency circumstances in order to protect the vital interests of the Data Subject or to prevent serious loss or injury to another person.

- If Personal Data is collected from someone other than the Data Subject, the Data Subject must be informed of the collection unless one of the following apply:
- the Data Subject has received the required information by other means
- the information must remain confidential due to a professional secrecy obligation
- a national law expressly provides for the collection, Processing or transfer of the Personal Data.

Where it has been determined that notification to a Data Subject is required, notification should occur promptly, but in no case later than:

- one calendar month from the first collection or recording of the Personal Data
- at the time of first communication, if used for communication with the Data Subject
- at the time of disclosure, if disclosed to another recipient.

#### 3.3.1. Data Subject Consent

Holmer Green Senior School will obtain Personal Data only by lawful and fair means and, where appropriate, with the knowledge and consent of the individual concerned.

Holmer Green Senior School shall establish a system for obtaining and documenting Data Subject consent for the collection, processing, and/or transfer of their personal data. The system must include provisions for:

- ensuring the request for consent is presented in a manner which is clearly distinguishable from any other matters
- ensuring the request for consent is made in an intelligible and easily accessible form, and uses clear and plain language
- ensuring the consent is freely given
- documenting the date, method and content of the disclosures made, as well as the validity, scope, and volition of the Consents given
- providing a simple method for a Data Subject to withdraw their consent at any time.

#### 3.3.2. External Privacy Notes

The school website will include an online 'Privacy Notice' fulfilling the requirements of applicable law.

### 3.4. Data Use

#### 3.4.1. Data Processing

Holmer Green Senior School will process personal data in accordance with data protection regulations and applicable contractual obligations. The school will not process personal data unless at least one of the following requirements are met:

- The Data Subject has given consent to the processing of their personal data for a specific purpose.
- Processing of the personal data is necessary for the performance of a contract to which the Data Subject is party or in order to take steps at the request of the Data Subject prior to entering into a contract.
- Processing is necessary for compliance with a legal obligation to which the Data Controller is subject.
- Processing is necessary in order to protect the vital interests of the Data Subject or of another natural person.
- Processing is necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the Data Controller.
- Processing is necessary for the purposes of the legitimate interests pursued by the Data Controller or by a third party (except where such interests are overridden by the interests or fundamental rights and freedoms of the Data Subject, in particular where the Data Subject is a child).



### 3.4.2. Sensitive Personal Data

Sensitive personal data include the following:

- SEND
- racial or ethnic origin
- political opinions
- religious or philosophical beliefs
- trade union membership
- data concerning sex life, sexual orientation or health
- genetic data
- biometric data, where processed in a manner that will uniquely identify a person.

Holmer Green Senior School will only process sensitive personal data where the Data Subject expressly consents to such processing or where one of the following conditions apply:

- the processing is necessary for the school to discharge its legal duty
- the processing is specifically authorised or required by law
- the processing is necessary to protect the vital interests of the Data Subject or of another natural person where the Data Subject is physically or legally incapable of giving consent.

Where sensitive personal data is being processed, Holmer Green Senior School will adopt additional protection measures.

### 3.4.3. Data Quality

Holmer Green Senior School will adopt all necessary measures to ensure that the personal data it collects and processes is complete and accurate in the first instance, and is updated to reflect the current situation of the Data Subject.

The measures adopted by Holmer Green Senior School to ensure data quality include:

- correcting personal data known to be incorrect, inaccurate, incomplete, ambiguous, misleading or outdated, even if the Data Subject does not request rectification
- keeping personal data only for the period necessary, to satisfy the permitted uses or applicable statutory retention period
- the removal of personal data if in violation of any of the Data Protection principles or if the personal data is no longer required
- restriction, rather than deletion of personal data, insofar as:
  - a law prohibits erasure
  - erasure would impair legitimate interests of the Data Subject
  - the Data Subject disputes that their Personal Data is correct and it cannot be clearly ascertained whether their information is correct or incorrect.

### 3.4.4. Direct Marketing

As a general rule, Holmer Green Senior School will not send promotional or direct marketing material to parents, pupils, staff or stakeholders through digital channels such as mobile phones, email and the Internet, without first obtaining their consent.

The GDPR and Privacy and Electronic Communications Regulation (PECR) (which governs Direct Marketing Activities within the EU) imports the GDPR standard for consent. That is:

- The consent must be freely given, specific, informed and unambiguous.
- The consent must be expressed by a statement or clear affirmative action. Silence, pre-ticked boxes or inactivity should therefore not constitute consent.
- The consent must be as easy to withdraw as it was to provide consent in the first place.
- The organisation must be able to demonstrate that the individual has consented.
- The consent language must be intelligible and use clear and plain language.

Contacting recipients via email to establish whether consent is in place also constitutes direct marketing and is prohibited without first obtaining consent from the Customer. The request for consent must be clearly distinguished from other matters. Prior consent, before sending electronic communications for direct marketing purposes will be required. This would mean if Holmer Green Senior School were proposing to email parents or prospective donors of financial or material donations Holmer Green Senior School would have to obtain prior consent.

Where personal data of pupils, including photographs, is used for digital marketing purposes such as an online prospectus and school website, the Data Subject must be informed at the point of initial contact that they have the right to object, at any stage, to having their data processed for such purposes. Where an objection is made all marketing-related processing of the personal data shall cease immediately and details will be kept on a suppression list with a record of the decision.

### 3.5. Data Retention

To ensure fair processing, personal data will not be retained by Holmer Green Senior School for longer than necessary in relation to the purposes for which it was originally collected, or for which it was further processed.

The length of time for which Holmer Green Senior School needs to retain personal data is set out in the Holmer Green Senior School 'Personal Data Retention Schedule'. This takes into account the legal and contractual requirements, both minimum and maximum, that influence the retention periods. All personal data should be securely deleted or destroyed as soon as possible where it has been confirmed that there is no longer a need to retain it. Please see Appendix 1 for Data Retention Schedule.

### 3.6. Data Protection

3.6.1. Holmer Green Senior School will adopt physical, technical, and organisational measures to ensure the security and protect the confidentiality, integrity and availability of the personal data, defined as follows:

- Confidentiality means that only people who have a need to know and are authorised to use the personal data can access it.
- Integrity means that personal data is accurate and suitable for the purpose for which it is processed.
- Availability means that authorised users are able to access the Personal Data when they need it for authorised purposes

This includes the prevention of loss or damage, unauthorised alteration, access or Processing, and other risks to which it may be exposed by virtue of human action or the physical or natural environment.

3.6.2. A summary of the personal data related security measures is provided below:

- Prevent unauthorised persons from gaining access to data processing systems in which personal data is processed.
- Prevent persons entitled to use a data processing system from accessing personal data beyond their needs and authorisations.
- Ensure that personal data in the course of electronic transmission during transport cannot be read or copied
- Ensure that access logs are in place to establish whether, and by whom, the personal data was entered into, modified or removed from a data processing system.
- Ensure that in the case where processing is carried out by a Data Processor, the data can be processed only in accordance with the instructions of the Data Controller.
- Ensure that personal data is protected against undesired destruction or loss.
- Ensure that personal data is not kept longer than necessary.
- Regularly evaluate and test the effectiveness of safeguards to ensure security of processing of personal data.

### 3.7. Data Subject Rights

- 3.7.1. The process for attending to the following Data Subject rights is outlined in the Data Subject Access Policy:
- information access
  - objection to Processing.
  - objection to automated decision-making and Profiling
  - restriction of Processing
  - data portability
  - data rectification
  - data erasure.

No administration fee will be charged for considering and/or complying with such a request unless the request is deemed to be unnecessary or excessive in nature.

- 3.7.2. Data Subjects are entitled to, based upon a request made and upon successful verification of their identity, the following information about their own personal data:
- the purposes of the collection, processing, use and storage of their personal data
  - the source(s) of the personal data, if it was not obtained from the Data Subject
  - the categories of personal data stored for the Data Subject
  - the recipients, or categories of recipients, to whom the personal data has been or may be transmitted, along with the location of those recipients
  - the envisaged period of storage for the personal data or the rationale for determining the storage period
  - the retention periods applied to the data
  - a summary of the security measures in place to protect the data
  - request to erase personal data, if it is no longer necessary in relation to the purposes for which it was collected or processed, or to rectify inaccurate data or to complete incomplete data. Please see Subject Access Request Policy
- 3.7.3. A response to each request will be provided within 30 days of the receipt of the written request from the Data Subject. That period may be extended by two further months where necessary, taking into account the complexity and number of the requests.
- 3.7.4. Appropriate verification must confirm that the requestor is the Data Subject or their authorised legal representative. Data Subjects shall have the right to require Holmer Green Senior School to correct or supplement erroneous, misleading, outdated, or incomplete personal data.
- 3.7.5. Please refer to the Individuals Rights Policy for detailed guidance and procedures for responding to such requests.

#### Third-Party Data

- 3.7.6. It should be noted that situations may arise where providing the information requested by a Data Subject would disclose personal data about another individual. In such cases, information must be redacted or withheld as may be necessary or appropriate to protect that person's rights.
- 3.7.7. When personal data is collected indirectly (for example, from a third party or publicly available source), Holmer Green Senior School will provide the Data Subject with all the information required by the GDPR as soon as possible after collecting/receiving the data. Holmer Green Senior School will also check that the personal data was collected by the third party in accordance with the GDPR and on a basis which contemplates proposed processing of that personal data.

### 3.8. Law Enforcement Requests and Disclosures

- 3.8.1. In certain circumstances, it is permitted that Personal Data be shared without the knowledge or consent of a Data Subject. This is the case where the disclosure of the personal data is necessary for any of the following purposes:
- the prevention or detection of crime;
  - the apprehension or prosecution of offenders
  - the assessment or collection of a tax or duty
  - by order of a court or by any rule of law.

### 3.9. Data Protection Training

- 3.9.1. All Holmer Green Senior School employees and employees of Third Parties (Data Processors) that have access to personal data will have their responsibilities under this policy outlined to them as part of their staff induction training. In addition, Holmer Green Senior School and Third Parties will provide regular Data Protection training and procedural guidance for their staff.
- 3.9.2. The training and procedural guidance set forth will consist of, at a minimum, the following elements:
- the Data Protection Principles set forth in Section 4.2 above
  - each Employee's duty to use and permit the use of personal data only by authorised persons and for authorised purposes
  - the need for, and proper use of, the forms and procedures adopted to implement this policy
  - the correct use of passwords, security and other access mechanisms
  - the importance of limiting access to personal data, such as by using password protected screen savers and logging out when systems are not being attended by an authorised person
  - securely storing manual files, printouts and electronic storage media
  - information on how to detect a phishing email
  - proper disposal of personal data by using secure shredding facilities
  - any special risks associated when conducting educational activities or duties.

### 3.10. Data Transfer

- 3.10.1. Holmer Green Senior School may transfer personal data to internal or Third-Party recipients located in another country where that country is recognised as having an adequate level of legal protection for the rights and freedoms of the relevant Data Subjects.
- 3.10.2. Where transfers need to be made to countries lacking an adequate level of legal protection (i.e. Third Countries), they must be made in compliance with an approved transfer mechanism.
- 3.10.3. Holmer Green Senior School may only transfer personal data where one of the transfer scenarios listed below applies:
- the Data Subject has given Consent to the proposed transfer.
  - the transfer is necessary for the performance of a contract with the Data Subject
  - the transfer is necessary for the implementation of pre-contractual measures taken in response to the Data Subject's request
  - the transfer is necessary for the conclusion or performance of a contract concluded with a Third Party in the interest of the Data Subject.
  - the transfer is legally required on important public interest grounds
  - the transfer is necessary for the establishment, exercise or defence of legal claims
  - the transfer is necessary in order to protect the vital interests of the Data Subject.

## Transfers to Third Parties

- 3.10.4. Holmer Green Senior School will only transfer personal data to, or allow access by, Third Parties when it is assured that the information will be processed legitimately and protected appropriately by the recipient. Where Third-Party processing takes place, Holmer Green Senior School will first identify if, under applicable law, the Third Party is considered a Data Controller or a Data Processor of the Personal Data being transferred.
- 3.10.5. Where the Third Party is deemed to be a Data Controller, Holmer Green Senior School will enter into an appropriate agreement with the Controller to clarify each party's responsibilities in respect to the personal data transferred.
- 3.10.6. Where the Third Party is deemed to be a Data Processor, Holmer Green Senior School will enter into an adequate processing agreement with the Data Processor. The agreement must require the Data Processor to protect the personal data from further disclosure and to only process personal data in compliance with Holmer Green Senior School instructions. In addition, the agreement will require the Data Processor to implement appropriate technical and organisational measures to protect the personal data, as well as procedures for providing notification of Personal Data Breaches.
- 3.10.7. When outsourcing services to a Third Party (including Cloud Computing services), Holmer Green Senior School will identify whether the Third Party will process personal data on its behalf and whether the outsourcing will entail any Third Country transfers of Personal Data.
- 3.10.8. Regular audits of processing of personal data performed by Third Parties, especially in respect of technical and organisational measures they have in place, should be undertaken. Any major deficiencies identified will be reported to and monitored by the Governing Body Holmer Green Senior School.

## 3.11. Complaints Handling

- 3.11.1. Data Subjects with a complaint about the processing of their personal data should put forward the matter in writing. An investigation of the complaint will be carried out to the extent that is appropriate based on the merits of the specific case. Holmer Green Senior School will inform the Data Subject of the progress and the outcome of the complaint within a reasonable period.
- 3.11.2. If the issue cannot be resolved through consultation with the Data Subject, then the Data Subject should be advised that they may, at their option, seek redress a complaint to the Information Commissioner's Office (ICO).

## 3.12. Breach Reporting

- 3.12.1. Any individual who suspects that a Personal Data Breach has occurred due to the theft or exposure of personal data must immediately notify the Breach Incident Manager, Liz Plascott providing a description of what occurred. Notification of the incident can be made via e-mail to [plascottl@holmer.org.uk](mailto:plascottl@holmer.org.uk) or by calling 01494 712219. The Breach Incident Manager should update the internal breach log, including pertinent facts relating to the incident, effects and remedial actions taken and notify the GDPR Lead, Lynda Jackson. The DPO must be notified of all Data Breaches and involved in the decision process and any remedial action.
- 3.12.2. All reported incidents will be investigated to confirm whether or not a Personal Data Breach has occurred. For severe Personal Data Breaches, Holmer Green Senior School must inform the ICO within 72 hours of becoming aware of the breach. Where there is a risk of damage to the Data Subject, the affected Data Subjects should be advised of the personal data breach.
- 3.12.3. Guidance can be found in the GDPR Data Breach Policy which all staff must follow. Failure to do so could result in a disciplinary action.

#### **4. Policy Maintenance and Publication**

4.1. This policy shall be available to all Employees through the Holmer Green Senior School intranet. A hard copy will also be available in the staff room to facilitate classroom-based staff with limited PC access.

**Data Protection Officer: Mark Purdom, [+44 \(0\)118 328 0135](tel:+441183280135) | [+44 \(0\)7500 600250](tel:+441750600250)**

#### **Holmer Green Senior School GDPR Team**

GDPR Lead: Lynda Jackson, Director of Finance, HR and Resources

Breach Incident Manager/Subject Access Request Manager: Liz Plascott, Headteacher's PA and Director of Communications

Data Manager: Sandra Hardie

ICT Manager: Stephen Sharrad

Effective Date 25 May 2018 (Draft) For Approval 20 June 2018

Review June 2019

## Data Retention Policy for Personally Identifiable Information

### 1. Governing Body

Basic File Description	Data Protection Issues	Statutory Provisions	Retention Period	Data Destruction
Agenda for Governing Body meetings	Yes, if concerning confidential issues relating to staff		One copy to be retained with meeting minutes	Secure Disposal
Minutes of Governing Body meetings	Yes, if concerning confidential issues relating to staff		One copy to be retained with Agenda	Secure Disposal
Reports presented to the Governing Body	Yes, if concerning confidential issues relating to staff		Reports should be kept for a minimum of 6 years	Secure Disposal
Records relating to complaints dealt with by Governing Body	Yes		Date of resolution + 6 years	Secure Disposal
Log books of school activity, maintained by Head Teacher	Yes, if concerning individual pupils or staff		Date of last entry + 6 years	Secure Disposal
Minutes of Senior Leadership Team meetings (& other administrative bodies)	Yes, if concerning individual pupils or staff		Date of meeting + 3 years	Secure Disposal
Reports created by Head Teacher or SLT	Yes, if concerning individual pupils or staff		Date of report + 3 years	Secure Disposal
Correspondence created by staff	Yes, if concerning individual pupils or staff		Date of correspondence + 3 years	Secure Disposal
Professional Development Plans	Yes		Life of the plan + 6 years	Secure Disposal

### 2. Admissions Process

Basic File Description	Data Protection Issues	Statutory Provisions	Retention Period	Data Destruction
Admissions - if successful	Yes	School Admissions Code Statutory guidance for admission authorities, governing bodies, local authorities, schools adjudicators and admission appeals panels December 2014	Date of Admission + 1 year	Secure Disposal
Admissions – if unsuccessful	Yes	School Admissions Code Statutory guidance for admission authorities, governing bodies, local authorities, schools	Last communication + 1 year	Secure Disposal

## APPENDIX I - HGSS Data Retention Schedule

		adjudicators and admission appeals panels December 2014		
Register of Admissions	Yes	School attendance: Departmental advice for maintained schools, academies, independent schools and local authorities October 2014	Date of Entry + 3 years	Secure Disposal
Proof of Address (as supplied by parents during admissions process)	Yes	School Admissions Code Statutory guidance for admission authorities, governing bodies, local authorities, schools adjudicators and admission appeals panels December 2014	Date of submission + 1 year	Secure Disposal
Supplementary information collected during admissions process (religion, medical conditions etc.)	Yes			
For successful admissions			Information should be added to the pupil file	Secure Disposal
For unsuccessful admissions			Until process is completed	Secure Disposal

### 3. Operational Administration

Basic File Description	Data Protection Issues	Statutory Provisions	Retention Period	Data Destruction
Visitors' Books & Signing in Sheets	Yes		Current year + 6 years	Secure Disposal

### 4. Recruitment

Basic File Description	Data Protection Issues	Statutory Provisions	Retention Period	Data Destruction
All records leading up to the appointment of a new head teacher	Yes		Date of appointment + 6 years	Secure Disposal
All records leading up to the appointment of a new member of staff – unsuccessful candidate	Yes		Date of appointment of successful candidate + 6 months	Secure Disposal



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All records leading up to the appointment of a new member of staff – successful candidate	Yes		Transfer information to the staff personal file	Secure Disposal
Pre-employment vetting information – DBS Checks	No	DBS Update Service Employer Guide June 2014: Keeping children safe in education. July 2015 (Statutory Guidance from Dept. of Education) Sections 73, 74	School does not have to keep copies of DBS certificates. If copies are kept it must NOT be retained for any more than 6 months	
Proof of identity collected as part of the process of DBS check	Yes		Documentation should NOT be retained. Check and keep record of what has been checked. Add to staff file.	
Pre-employment vetting information: evidence proving the right to work in the UK	Yes	An employer’s guide to right to work checks [Home Office May 2015]	Add documents to staff file (recommended). If held separately, keep for period of employment + 2 years	

### 5. Operational Staff Management

Basic File Description	Data Protection Issues	Statutory Provisions	Retention Period	Data Destruction
Staff Personal File	Yes	Limitation Act (1980)	Termination of employment + 6 years	Secure Disposal
Timesheets	Yes		Current year + 6 years	Secure Disposal
Annual appraisal	Yes		Current year + 5 years	Secure Disposal

### 6. Management of Disciplinary & Grievance Processes

Basic File Description	Data Protection Issues	Statutory Provisions	Retention Period	Data Destruction
Allegation of a child protection nature against a member of staff (including if unfounded)	Yes	“Keeping children safe in education Statutory guidance for schools and colleges March 2015”; “Working together to safeguard children. A guide to inter-agency working to safeguard and promote the welfare of children March 2015”	Until normal retirement age or 10 years from date of allegation, whichever is longer.	Secure Disposal

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Disciplinary Proceedings	Yes			
1. Oral warning			1. Date of warning + 6 months	Secure Disposal
2. Written warning – L1			2. Date of warning + 6 months	
3. Written warning – L2			3. Date of warning + 12 months	
4. Final warning			4. Date of warning + 18 months	
5. Case not found			Conclusion of case (unless Child Protection related)	

### 7. Health & Safety

Basic File Description	Data Protection Issues	Statutory Provisions	Retention Period	Data Destruction
Records relating to accident / injury at work	Yes		Date of incident + 12 years	Secure Disposal
Accident Reporting	Yes	Social Security (Claims and Payments) Regulations 1979 Regulation 25. Social Security Administration Act 1992 Section 8. Limitation Act 1980		
1. Adults			1. Date of incident + 6 years	Secure Disposal
2. Children			2. Date of incident + 25 years	

### 8. Payroll & Pensions

Basic File Description	Data Protection Issues	Statutory Provisions	Retention Period	Data Destruction
Maternity pay records	Yes	Statutory Maternity Pay (General) Regulations 1986 (SI1986/1960), revised 1999 (SI1999/567)	Current year + 3 years	Secure Disposal
Records held under Retirement Benefits Schemes (Information Powers) Regulations 1995	Yes		Current year + 6 years	Secure Disposal
PAYE	Yes	HMRC	Current year + 3 years	Secure Disposal

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9. Pupil Management

Basic File Description	Data Protection Issues	Statutory Provisions	Retention Period	Data Destruction
Pupil's Educational Record	Yes	Pupil Information Regulations 2005 ( <i>note: not applicable for non-maintained schools</i> )		
1. Primary			Retain while pupil is at primary school	Pass to secondary school
2. Secondary		Limitation Act (1980)	Date of Birth + 25 years	Secure Disposal
Examination results	Yes		This information should be added to the pupil file and retained for current year + 6 years	Secure Disposal
SATS	Yes		Held in pupil file: Date of Birth + 25 years	Secure Disposal
Mark Books	No		Current year + 1 year	Secure Disposal
Pupil's work	No		Where possible, return to pupil at end of academic year	
Child Protection information held on pupil file	Yes	"Keeping children safe in education Statutory guidance for schools and colleges March 2015"; "Working together to safeguard children. A guide to inter-agency working to safeguard and promote the welfare of children March 2015"	Any records should be in a sealed envelope and retained for the same period as the pupil file	Secure Disposal
Child Protection information held in separate files	Yes	"Keeping children safe in education Statutory guidance for schools and colleges March 2015"; "Working together to safeguard children. A guide to inter-agency working to safeguard and promote the welfare of children March 2015"	Date of Birth of child + 25 years	Secure Disposal

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Attendance Registers	Yes	School attendance: Departmental advice for maintained schools, academies, independent schools and local authorities October 2014	Date of entry + 3 years	Secure Disposal
Correspondence relating to authorized absence	Yes	Education Act (1996)	Current academic year + 2 years	Secure Disposal
Special Educational Needs files	Yes	Limitation Act (1980)	Date of birth of child + 25 years	Secure Disposal
Advice and information provided to parents regarding educational needs	Yes	Special Educational Needs and Disability Act (2001)	Date of birth of child + 25 years (normally held on pupil file)	Secure Disposal